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en  
3621  
Docket No.: SONY 3.0-022  
(PATENT)

Date: 7-26-05 Signature: 

Jeffrey S. Dickey

OIP  
JUL 29 2005  
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING  
DEFERRED TRANSACTIONS

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the reference listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: 7-26-05

Respectfully submitted,

By: 

Jeffrey S. Dickey

Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicants

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**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (2)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, and, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: 7-26-05

Respectfully submitted,

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Registration No.: 35,858

LERNER, DAVID, LITTENBERG,

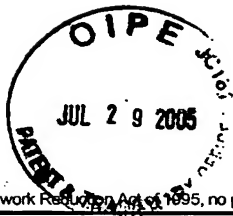
KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicants



PTO/SB/08a/b (08-03)

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	J. M. Winter
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-5,131,038	07-14-1992	Puhl et al.	
	AB	US-5,276,736	01-04-1994	Chaum	
	AC	US-5,521,890	05-28-1996	Miche et al.	
	AD	US-5,604,805	02-18-1997	Brands	
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	AG	US-5,778,384	07-07-1998	Provino et al.	
	AH	US-5,838,812	11-17-1998	Pare, Jr. et al.	
	AI	US-6,026,491	02-15-2000	Hiles	
	AJ	US-6,108,644	08-22-2000	Goldschlag et al.	
	AK	US-6,263,446	07-17-2001	Kausik, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
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Examiner Signature		Date Considered	
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